Implementation Reviews 2019/20 Quarter 1

Progress

- 100 = 15 (38%)
- 0 = 24 (60%)
- 50 = 1 (2%)

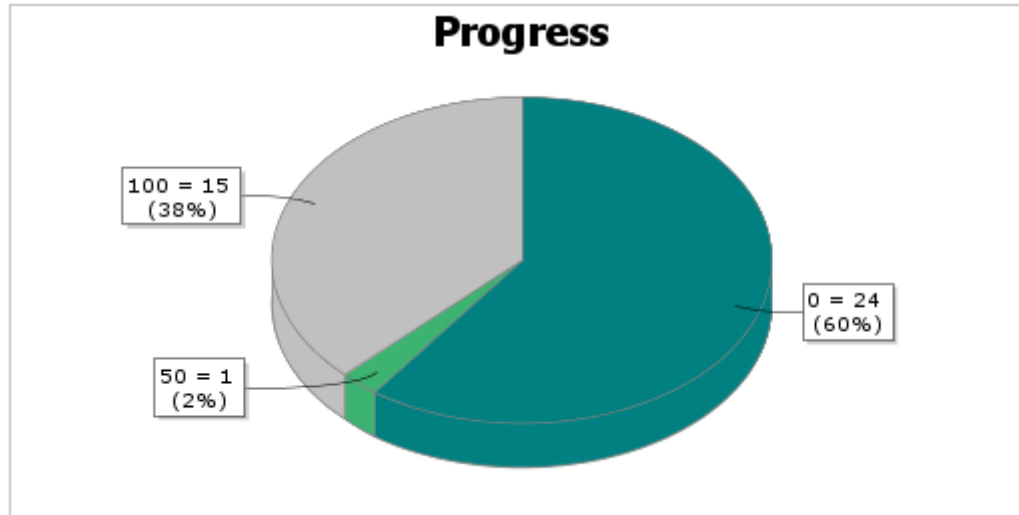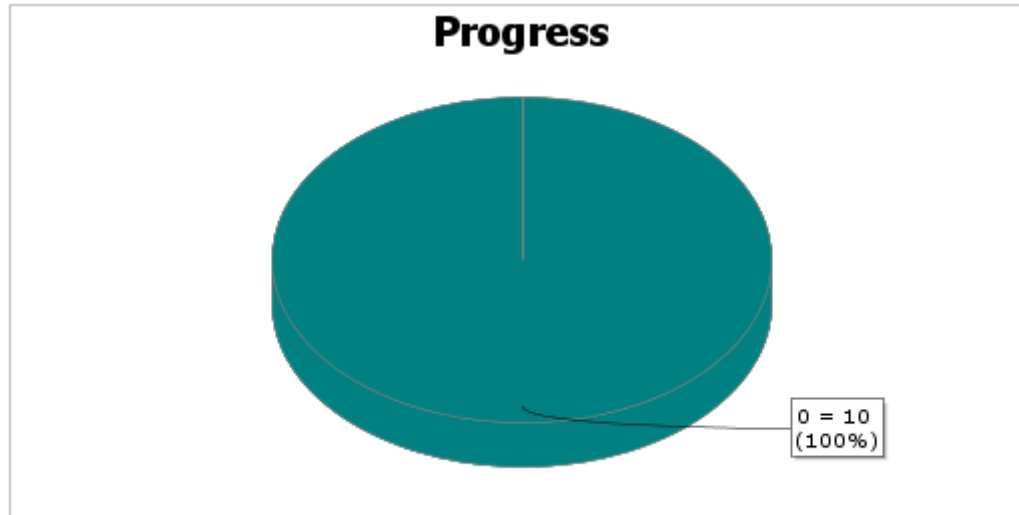| Audit Recommendation Code & Title	Recommendation Priority	Recommendation Progress	Reason Not Implemented	Implementation Review completed	Revised Date for Implementation
1718 SS 2.01 Health & Safety	High Priority	0%	No evidence provided	1st implementation review completed	30–Sep–2019
1718 SS 7.01 Risk Register Update	High Priority	0%	No evidence provided	1st implementation review completed	30–Sep–2019
1718 SS 7.02 Risk Register Review	High Priority	0%	No evidence provided	1st implementation review completed	30–Sep–2019
1819 HS Regen Risk Register	High Priority	0%	No evidence provided	1st implementation review completed	30–Sep–2019
1718 SS 1.01 Open Space	Medium Priority	0%	No evidence provided	1st implementation review	30–Sep–2019

1

Audit Recommendation Code & Title	Recommendation Priority	Recommendation Progress	Reason Not Implemented	Implementation Review completed	Revised Date for Implementation
				completed	
1718 SS 3.01 Health Hazards	Medium Priority	0%	No evidence provided	1st implementation review completed	30–Sep–2019
1718 SS 4.01 Stock Records	Medium Priority	0%	No evidence provided	1st implementation review completed	30–Sep–2019
1718 SS 5.01 Asset Itinerary	Medium Priority	0%	No evidence provided	1st implementation review completed	30–Sep–2019
1718 SS 6.01 Vandalism	Medium Priority	0%	No evidence provided	1st implementation review completed	30–Sep–2019
1819 Pent 1.05 User Access Rights	Medium Priority	0%	Other Higher Priorities	1st implementation review completed	31–Oct–2019
1819 Pent 1.06 Deputy System Admin	Medium Priority	0%	Other Higher Priorities	1st implementation review completed	31–Oct–2019
1819 Pent 1.07 Housekeeping	Medium Priority	0%	Other Higher Priorities	1st implementation review completed	31–Oct–2019
1819 Pent 1.11 Business Impact Assessment	Medium Priority	0%	Other Higher Priorities	1st implementation review completed	31–Oct–2019
1819 Pent 1.12 Business Continuity Plan	Medium Priority	0%	Other Higher Priorities	1st implementation review completed	31–Oct–2019
1819 Pent 1.14 Business Continuity Plan Testing	Medium Priority	0%	Other Higher Priorities	1st implementation review completed	31–Dec–2019
1819 TIC 1.01 Health & Safety Risk Assessments	Medium Priority	0%	No evidence provided	1st implementation review completed	01–Jul–2019
1819 TIC 1.02 Health & Safety Risk Assessments	Medium Priority	0%	No evidence provided	1st implementation review completed	01–Jul–2019
1819 TIC 2.01 Access	Medium Priority	0%	No evidence provided	1st implementation review	01–Jul–2019

Audit Recommendation Code & Title	Recommendation Priority	Recommendation Progress	Reason Not Implemented	Implementation Review completed	Revised Date for Implementation
Reviews				completed	
1819 TIC 4.01 Business Continuity Plan	Medium Priority	0%	No evidence provided	1st implementation review completed	01–Jul–2019
1819 TIC 5.01 Tell Us Policy	Medium Priority	0%	No evidence provided	1st implementation review completed	01–Jul–2019
1819 TIC 5.02 Performance Data	Medium Priority	0%	No evidence provided	1st implementation review completed	01–Jul–2019
1819 TIC 5.03 Complaint/Compliment Monitoring	Medium Priority	0%	No evidence provided	1st implementation review completed	01–Jul–2019
1819 TIC 6.02 End of Day Cashing Up Procedures	Medium Priority	0%	No evidence provided	1st implementation review completed	01–Jul–2019
1819 TIC 6.04 Daily Cashing Up Sheets – Officer Signatures	Medium Priority	0%	No evidence provided	1st implementation review completed	01–Jul–2019

Further Implementation Reviews 2019/20 Quarter 1

Audit Recommendation Code & Title	Recommendation Priority	Recommendation Progress	Reason Not Implemented	Implementation Review completed	Revised Date for Implementation
1819 CCTV 3.01 One Staffordshire Data Sharing Protocol	High Priority	0%	Other Higher Priorities	2nd implementation review completed	30–Jun–2019
1819 Lice 3.01 Retention	High Priority	0%	No evidence provided	2nd implementation review completed	30–Sep–2019
1718 NetCont 1.1 Vulnerability Management Procedure	Medium Priority	0%	No evidence provided	2nd implementation review completed	30–Jun–2019
1718 NetCont 1.2 Penetration Testing	Medium Priority	0%	No evidence provided	2nd implementation review completed	30–Jun–2019

Audit Recommendation Code & Title	Recommendation Priority	Recommendation Progress	Reason Not Implemented	Implementation Review completed	Revised Date for Implementation
1718 NetCont 1.3 Windows Operating System	Medium Priority	0%	Other Higher Priorities	2nd implementation review completed	30–Jun–2019
1718 NetCont 1.4 Network Access	Medium Priority	0%	No evidence provided	2nd implementation review completed	30–Jun–2019
1718 Website 1.1 Penetration Testing	Medium Priority	0%	No evidence provided	2nd implementation review completed	30–Jun–2019
1819 CCTV 1.01 ADT Maintenance Agreement	Medium Priority	0%	No evidence provided	2nd implementation review completed	30–Jun–2019
1819 CCTV 1.02 ADT Maintenance Costs	Medium Priority	0%	No evidence provided	2nd implementation review completed	30–Jun–2019
1819 CCTV 9.01 Risk Review	Medium Priority	0%	Reliance on 3rd Party – Internal	2nd implementation review completed	30–Jun–2019

This page is intentionally left blank